



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John C. Hiatt, Jr., et al.
Appl. No.: 10/017,040
Conf. No.:
Filed: December 6, 2001
Title: DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR
CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A
STANDARD OPTION
Art Unit: 2161
Examiner:
Docket No.: 113609-002

Commissioner for Patents
Washington, DC 20231

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Sir:

I hereby certify that the following documents relating to the above-identified application:

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2. Preliminary Amendment (3 Pages); and
3. Return Receipt Postcard.

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Washington, DC 22313-1450

on May 1, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buccieri

Name of Person Mailing Correspondence

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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
113609-002

In Re Application Of: John C. Hiatt, Jr., et al.

Serial No.
10/017,040

Filing Date
12/06/2001

Examiner

Group Art Unit
2161

Title: **DELAYED START FINANCIAL INSTRUMENT AND METHOD FOR CONVERTING DELAYED START FINANCIAL INSTRUMENT TO A STANDARD OPTION**

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Jeffrey H. Canfield, Esq. (38,404)
Bell, Boyd & Lloyd LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
Telephone: (312) 807-4233

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(General - Patent Pending)

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